

#<sup>RS</sup> 2661  
17  
6-3-04 RECEIVED

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application No.	09/805,501	MAY 25 2004
	Filing Date	March 13, 2001	Technology Center 2600
	First Named Inventor	Carl R. Strathmeyer	
	Art Unit	2661	
	Examiner Name	Van Kim T. Nguyen	
Total Number of Pages in This Submission		Attorney Docket Number	42390P8943X2

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<div>- Check for \$180.00 - 27 Cited References - Return Receipt Postcard</div>
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s)	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Basic Filing Fee		
<input type="checkbox"/> Declaration/POA		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gregory D. Caldwell, Reg. No. 39,926 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	May 21, 2004

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Deborah L. Higham		
Signature		Date	May 21, 2004



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MAY 25 2004

**FEE TRANSMITTAL  
for FY 2004**

Effective 10/01/2004. Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/805,501	Technology Center 2600
Filing Date	March 13, 2001	
First Named Inventor	Carl R. Strathmeyer	
Examiner Name	Van Kim T. Nguyen	
Art Unit	2661	
Attorney Docket No.	42390P8943X2	

☐ Applicant claims small entity status. See 37 CFR 1.27.TOTAL AMOUNT OF PAYMENT (\$)  
180.00**METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None  
☐ Deposit AccountDeposit Account Number: 02-2666  
Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

**2. EXTRA CLAIM FEES**

Total Claims	26	26*	=	0	X	18.00	=	\$0.00
Independent Claims	8	8*	=	0	X	86.00	=	\$0.00
Multiple Dependent								

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater, For Reissues, see below

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
180.00**SUBMITTED BY**

Name (Print/Type) Gregory D. Caldwell

Signature

Registration No.  
(Attorney/Agent)

39,926

Telephone

(503) 684-6200

Date

05/21/04



Docket No.: 42390P8943X2

THE UNITED STATES PATENT AND TRADEMARK OFFICE

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MAY 25 2004

Technology Center 2600

In Re the Application of:

CARL R. STRATHMEYER, ET AL.

Art Group: 2661

Application No.: 09/805,501

Examiner: Van Kim T. Nguyen

Filed: March 13, 2001

For: **APPARATUS AND METHOD FOR COMPUTER  
CONTROLLED CALL PROCESSING APPLICATIONS  
IN PACKET SWITCHED TELEPHONE NETWORKS**

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a Notice of Allowance. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: May 21, 2004

  
\_\_\_\_\_  
Gregory D. Caldwell, Reg. No. 39,926

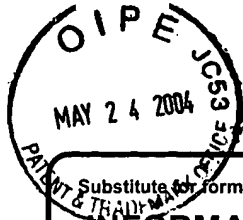
12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 684-6200

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\_\_\_\_\_  
Deborah L. Higham

05-21-04

\_\_\_\_\_  
Date



Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)				<b>Complete if Known</b>	
Application Number		09/805,501			
Filing Date		March 13, 2001			
First Named Inventor		Carl R. Strathmeyer			
Art Unit		2661			
Examiner Name		Van Kim T. Nguyen			
Attorney Docket Number		42390P8943X2			
Sheet	1	of	2		

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Technology Center 2600

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code* (if known)			
	1	US-5,724,412	03-03-1998	Srinivasan	
	2	US-5,867,494	02-02-1999	Krishnaswamy et al.	
	3	US-5,905,872	05-18-1999	DeSimone et al.	
	4	US-6,026,087	02-15-2000	Mirashrafi et al.	
	5	US-6,199,096 B1	03-06-2001	Mirashrafi et al.	
	6	US-6,212,192 B1	04-03-2001	Mirashrafi et al.	
	7	US-6,229,883 B1	05-08-2001	Kakizaki et al.	
	8	US-6,614,781 B1	09-02-2003	Elliott et al.	
	9	US-2001/0026548 A1	10-04-2001	Strathmeyer et al.	
	10	US-2001/0028711 A1	10-11-2001	Antonucci et al.	
	11	US-2001/0036176 A1	11-01-2001	Girard	
	12	US-2002/0067816 A1	06-06-2002	Bushnell	
	13	US-2002/0159574 A1	10-31-2002	Stogel	
	14	US-2003/0142807 A1	07-31-2003	Dolan et al.	
	15	US-2003/0147518 A1	08-07-2003	Albal et al.	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	16	EP 0 843 454 A2	05-20-1998	Lucent Technologies, Inc.		
	17	EP 1 113 656 A2	07-04-2001	Nortel Networks Limited		
	18	EP 1 211 875 A2	06-05-2002	Lucent Technologies, Inc.		
	19	WO 00/76158 A1	12-14-2000	Schulzrinne et al.		
	20	WO 00/79756 A2	12-28-2000	Glietho et al.		
	21	WO 01/22720 A2	03-29-2001	MCI Worldcom, Inc.		
	22	WO 01/43379 A1	06-14-2001	Cosmocom, Inc.		
	23	WO 01/59987 A2	08-16-2001	Syndeo Corporation		
	24	WO 01/69899 A2	09-20-2001	Nortel Networks Limited		
	25	WO 01/78358 A2	10-18-2001	Nortel Networks Limited		

Examiner Signature		Date Considered	
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\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

†Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

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